

## Lecture 14

Procedure to test if a code is uniquely decodable:

- (1) Construct a list of all (distinct) codewords.  $N$  distinct codewords
- (2) Examine all pairs of the codeword, to see if a codeword is a prefix of another codeword.

$$\binom{N}{2} = \frac{N \times (N-1)}{2}$$

- (3) If such a pair is found, then add the dangling suffix to the list, unless the you have added the same dangling suffix to the list in previous iterations.
- (4) Repeat the above procedure using the longer list, and continue in this fashion until one of the following cases happens:

Either

- (a) Get a dangling suffix that is **a codeword in the original list**  $\Rightarrow$  The code is NOT uniquely decodable;
- Or,
- (b) There is no more dangling suffix.  $\Rightarrow$  The code is uniquely decodable.

Example:

Code:  $\{0, 01, 10\}$  , dangling suffix between  $\{0, 01\}$  is '1'

$\downarrow \quad \downarrow \quad \downarrow$   
 $a_1 \quad a_2 \quad a_3$

$\downarrow$   
 $\{0, 01, 10, 1\}$

dangling suffix between  $\{10, 1\}$  is '0', which is  
an existing codeword in the original list

$\downarrow$   
The code is NOT uniquely decodable.

Another example:

Code: {0, 01, 11}

↑    ↑    ↑  
a<sub>1</sub> a<sub>2</sub> a<sub>3</sub>

Procedure:

The pair {0, 01} results in a dangling suffix of '1', which is added to the list:

{0, 01, 11, 1}, which results in a dangling suffix of '1', between {11, 1}, but '1' has been added in the previous step.

(b) There is no more dangling suffix. => The code is uniquely decodable.

Yet another example:

Code: {1, 01, 000, 0010, 0011} => No dangling suffix => Code is uniquely decodable.

- Instantaneous Codes

During the decoding phase, we do not have to wait until the beginning of the next codeword before knowing the current codeword is complete.

Example: Alphabet = {a<sub>1</sub>, a<sub>2</sub>, a<sub>3</sub>, a<sub>4</sub>}

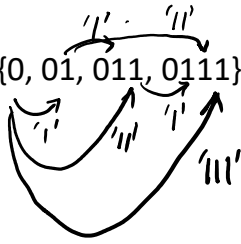
Letter	Code 1	Code 2	Code 3
a <sub>1</sub>	0	0	0
a <sub>2</sub>	1	10	01
a <sub>3</sub>	00	110	011
a <sub>4</sub>	11	111	0111

Code 1: {0, 1, 00, 11} is NOT uniquely decodable, using the procedure discussed earlier.

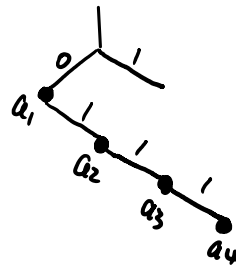
Code 2: {0, 10, 110, 111} is uniquely decodable, and instantaneous.



Code 3: {0, 01, 011, 0111} is **uniquely decodable**



{0, 01, 011, 0111, **1, 11, 111**}



Code 3 is NOT instantaneous.

Reason: suppose there is coded bitstream: 010, which can be decoded as:  $a_2 a_1$ . Thus, the decoder has to wait until the beginning of the next codeword before knowing the current codeword is complete.

- **Prefix code** (where no codeword is a prefix of another codeword, thus there is no dangling suffix)  
For example:

Code 2: {0, 10, 110, 111} is **uniquely decodable**, and **instantaneous**.

Next, answer the following question:

Are we losing on the *coding efficiency* (in terms of average codeword length) if we restrict ourselves to prefix codes?

Kraft-McMillan Inequality (K-M inequality):

(1) If a code C is uniquely decodable, then  $K(C) = \sum_{i=1}^N 2^{-l_i} \leq 1$

Where N is the number of codewords in code C, and  $l_1, l_2, \dots, l_N$  are the codeword lengths.

(2) If  $K(C) \leq 1$ , then we can always construct a prefix code with codeword lengths being  $l_1, l_2, \dots, l_N$ .

Letter	Code 1	Code 2	Code 3
$a_1$	0	0	0
$a_2$	1	10	01
$a_3$	00	110	011
$a_4$	11	111	0111

$K(\text{Code 1}) = 2^{-1} + 2^{-1} + 2^{-2} + 2^{-2} = 1.5 > 1$ , NOT uniquely decodable!

$K(\text{Code 2}) = 2^{-1} + 2^{-2} + 2^{-3} + 2^{-3} = 1 \leq 1$ , uniquely decodable

$K(\text{Code 3}) = 2^{-1} + 2^{-2} + 2^{-3} + 2^{-4} < 1$ , uniquely decodable  
 $\left\{ \begin{aligned} &= 2^{-4} (2^3 + 2^2 + 2 + 1) = \frac{15}{16} \\ &\quad 8 + 4 + 2 + 1 \end{aligned} \right.$

Midterm Exam:

March 3, 2025 (Monday) 1:00 pm – 2:20 pm

Scope: Lecture 1 -- Lecture 14 (inclusive), HW1 -- HW3.

Closed-book, closed-notes, no internet search; no Matlab.

Bring a calculator (log function)

You can bring a formula sheet (letter-sized, one page; can be two-sided).

- Information-theoretic metrics,  $H(X_1, X_2)$ ,  $H(X_1 | X_2)$ , .....
- Discrete-time first-order Markov chain.
- Check if a code is unique decodable, instantaneous, prefix code, etc.
- Huffman code